

# DOE/OE Transmission Reliability Program

---

## Substation Secondary Asset Health Monitoring Using Synchrophasors

**DOE Grant Award #DE-OE0000850**

Neeraj Nayak & Joshua Chynoweth (EPG)

NASPI

October 30, 2019

Richmond, VA



Electric Power Group



# Outline

---

- Industry Need
- Introduction
- EPG's Substation Asset Health Monitoring Platform
  - Methodology
  - Architecture
  - Testing and Validation
  - Visualization
- Real Event Example
- Summary
- Q&A, Discussion



Electric Power Group



# Acknowledgement & Disclaimer

---

Acknowledgment: This material is based upon work supported by the Department of Energy under Award Number DE-OE0000850.

## Disclaimer:

- This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.
- Data that is presented is masked to protect data confidentiality.



Electric Power Group



# Industry Need

---

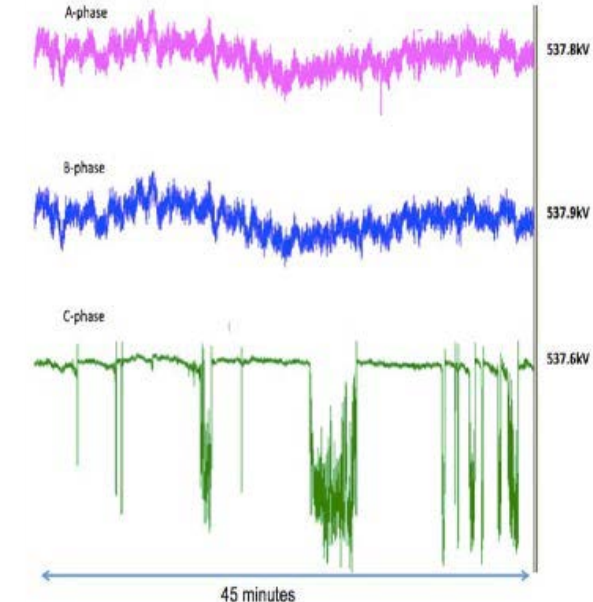
- Utilities have invested billions of dollars in transmission and distribution equipment and substations
- Key substation assets include transformers, circuit breakers, instrument transformers (CTs, PTs, CCVTs) and Intelligent Electronic Device (Relays, PMU, DFRs)
- Proper functioning of substation assets is critical for power system operations, reliability and personnel safety
- Equipment Failure causes increases in operation and maintenance cost and poses a risk to personnel safety and system reliability
- Identifying precursors to equipment failure can help prevent failure and minimize the impact on the system



Electric Power Group



# Equipment Failure – Increases Costs, Affects Personnel Safety



Example of failing CCVT in a substation

Example of CCVT voltage signals at Dominion\*

- Equipment Failure can cause significant damage/explosion to substation equipment
- Can jeopardize Personnel Safety
- Can cause Misoperations and impact reliability
- May even lead to system-wide events

\*NASPI Technical Report, “Diagnosing Equipment Health and Mis-operations with PMU data”, May 2015

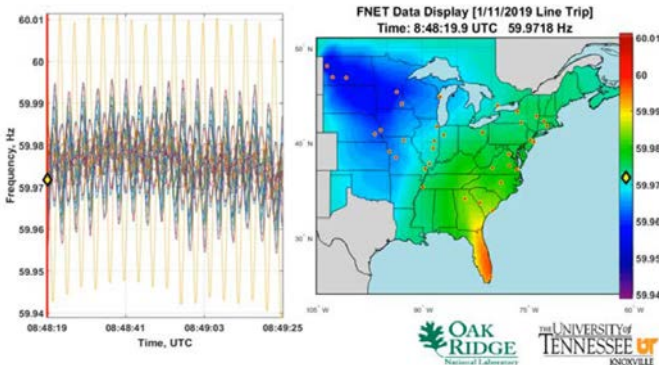


Electric Power Group



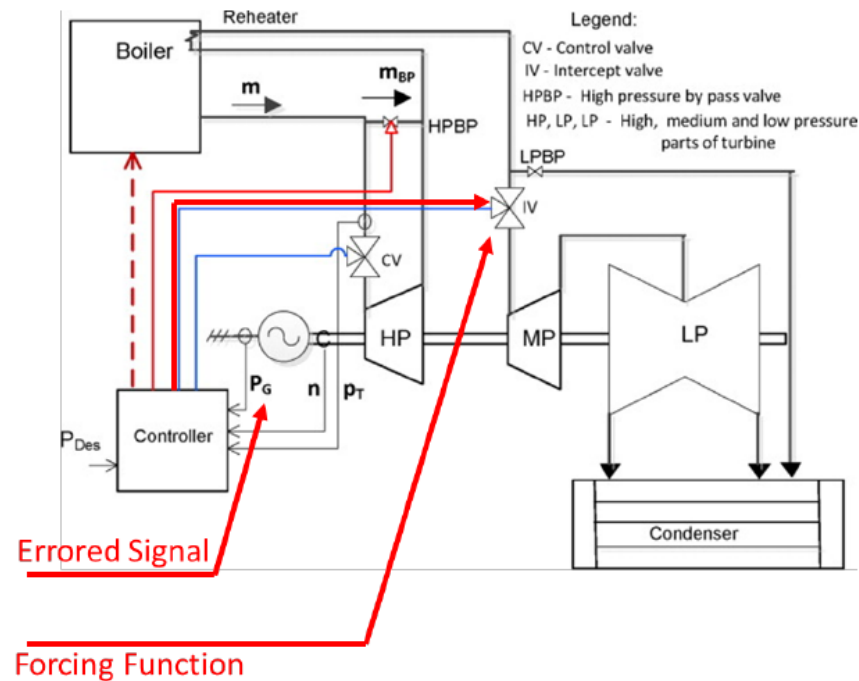
# Jan 11 Eastern Interconnection Oscillations

- NERC findings point to wiring issue in PT that triggered Interconnection Wide Oscillations
- Important to identify oscillations and locate source
- Also important to identify and address root-cause to prevent system wide impact



**NERC**  
NORTH AMERICAN ELECTRIC  
RELIABILITY CORPORATION

## Forced Oscillation Source



Source: NERC, Oscillation Analysis Webinar,  
September 13, 2019

- Steam turbine at combined cycle plant
- Power-load imbalance (PLI) controls
  - Failed voltage input to feedback
  - Measured  $P_{gen}$  reading 2/3 of actual
  - Perceived power-load imbalance
- PLI trigger shuts intercept valves
- 4 second timer to reopen valves
- Imbalance eliminated and valves reopen
- ... and repeat .... and repeat
- Different voltage measurements for relaying and controls/metering
  - Hence no relay operation
- Plant manually tripped by operator
- **Upon inspection, failed wiring in PT cabinet**
- Damaged intercept valves
  - Replacement needed
  - Unit off-line for multiple weeks

# Synchrophasors for Asset Health Monitoring

---

- Synchrophasor measurement systems have been widely installed in the North American power grids over the last decade
- High-Resolution Data (30 frames/second and above) from such assets can be used for asset health monitoring and take proactive steps to prevent equipment failure
- Monitor the status and health of substation equipment and Provide early warning indications for potential malfunctioning equipment
- Proactively replace and repair before equipment is damaged
- Reduce utility's forced outage of equipment
- Reduce utility's operating and maintenance costs



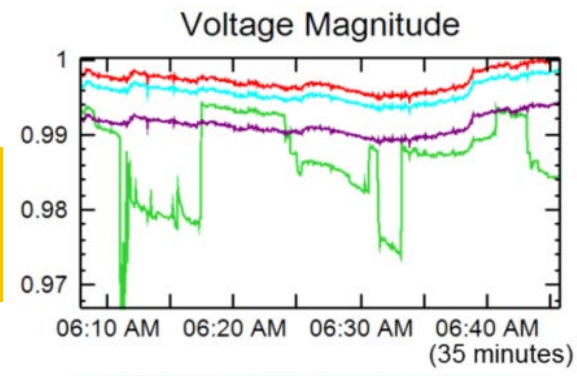
Electric Power Group



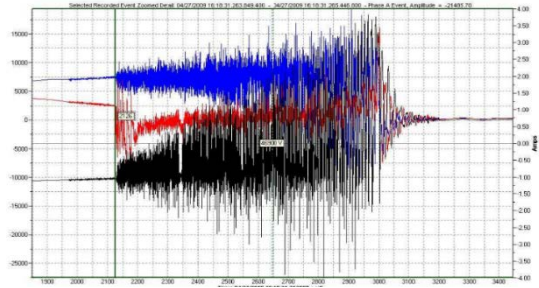
# Signatures for Equipment Failure – Some Examples

## *Loose Connections, Winding Issues, Blown Fuse*

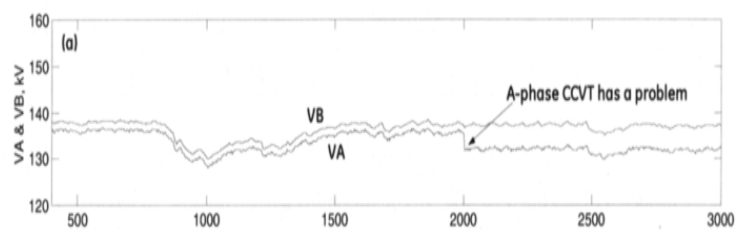
**Loose Fuse Connections in CCVT Safety Switch**



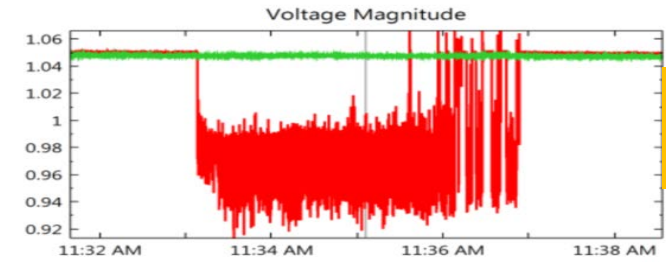
**Switching Transients due to Ferroresonance**



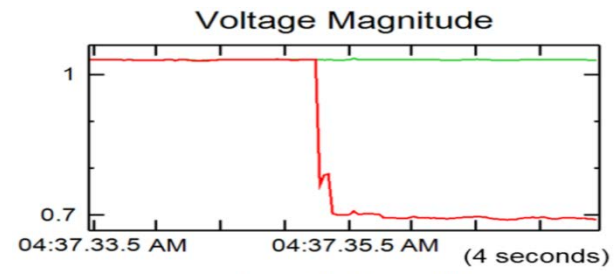
**A - Phase CCVT Issue**



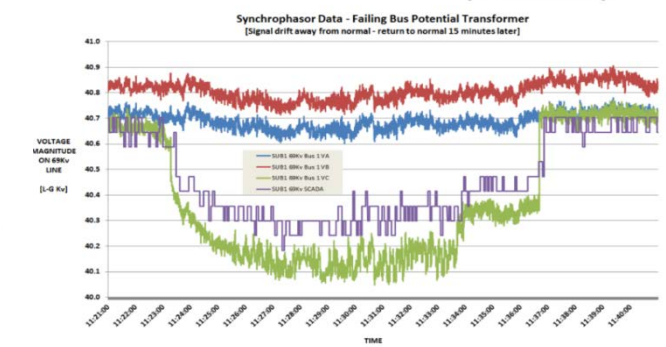
**Loose Connection at PT feeding the PMU**



**Blown fuse on One Phase of PT**



**Internal Primary Winding Issue**



**References:**

- 1) NASPI Technical Report, "Diagnosing Equipment Health and Mis-operations with PMU data", May 2015
- 2) Bogdan Kasztenny and Ian Stevens, "Monitoring Ageing CCVTs – Practical Solutions with Modern Relays to Avoid Catastrophic Failures", March 2007
- 3) David Shipp and Thomas Dionise, IEEE Tutorial, " Switching Transients, Transformer Failures, Practical Solutions", Feb 2016





# Equipment Failure Modes

Cause of Failure / Failure Modes		
CT	PT	CVT/CCVT
<ul style="list-style-type: none"> <li>• Loose Connections or Corroded Connections</li> <li>• Shorting of Winding Turns</li> <li>• Turns to Ground Shorting</li> <li>• Open CT secondary</li> <li>• Insulation               <ul style="list-style-type: none"> <li>• Erosion of insulation, Insulation Failure</li> <li>• Voids in Insulation – Increased moisture content, Partial Discharge – increased dielectric losses</li> <li>• Aging of CT and wiring insulation, Oil Leaks</li> <li>• High Insulation power factor of internal insulation</li> </ul> </li> <li>• Magnetic core saturation</li> </ul>	<ul style="list-style-type: none"> <li>• Ferroresonance               <ul style="list-style-type: none"> <li>• Switching Transients</li> <li>• PT Saturation</li> </ul> </li> <li>• Insulation Failure               <ul style="list-style-type: none"> <li>• High Stress Voltage Difference across some of the windings</li> <li>• Shorting of Adjacent Windings due to insulation failure</li> <li>• Deterioration of Insulations</li> </ul> </li> <li>• Transient Overvoltage's &amp; Lightning surges</li> <li>• Loose Connections</li> </ul>	<ul style="list-style-type: none"> <li>• Failure of one or more capacitor elements in HV stack – Overvoltage and Stress on each capacitor</li> <li>• Failure of one or more capacitor elements in LV grounding stack – decrease in secondary voltage</li> <li>• Failure of intermediate voltage transformer or series reactor – change in phase angle and/or voltage</li> <li>• Failure of Ferroresonance suppression circuit – waveform distortion, changes in phase angle and/or voltage</li> <li>• Multiple element failure can cause explosion – Staff Safety Issues</li> <li>• Failure of filter circuit or spark gaps used for harmonics &amp; transient voltage reduction – causes increased stress on components</li> <li>• External Flashover, failure of other components – expansion membrane, gasket seal</li> <li>• Low oil conditioned due to oil leak – capacitor failure</li> </ul>

# EPG's Platform for Asset Health Monitoring

---

- Platform: EPG developed a Substation Secondary Health Monitoring Platform to detect precursors to Equipment Failure
- Data: PMU and point-on-wave DFR data
- Equipment: Instrument Transformers (CT, PT, CCVT)
- Deployment Flexibility: In control centers or in substations
- Testing and Validation: American Electric Power (AEP)
- Field Testing and Deployment: Planned for Deployment in two substations (138 kV & 765kV) by end of 2019



Electric Power Group



# Methodology

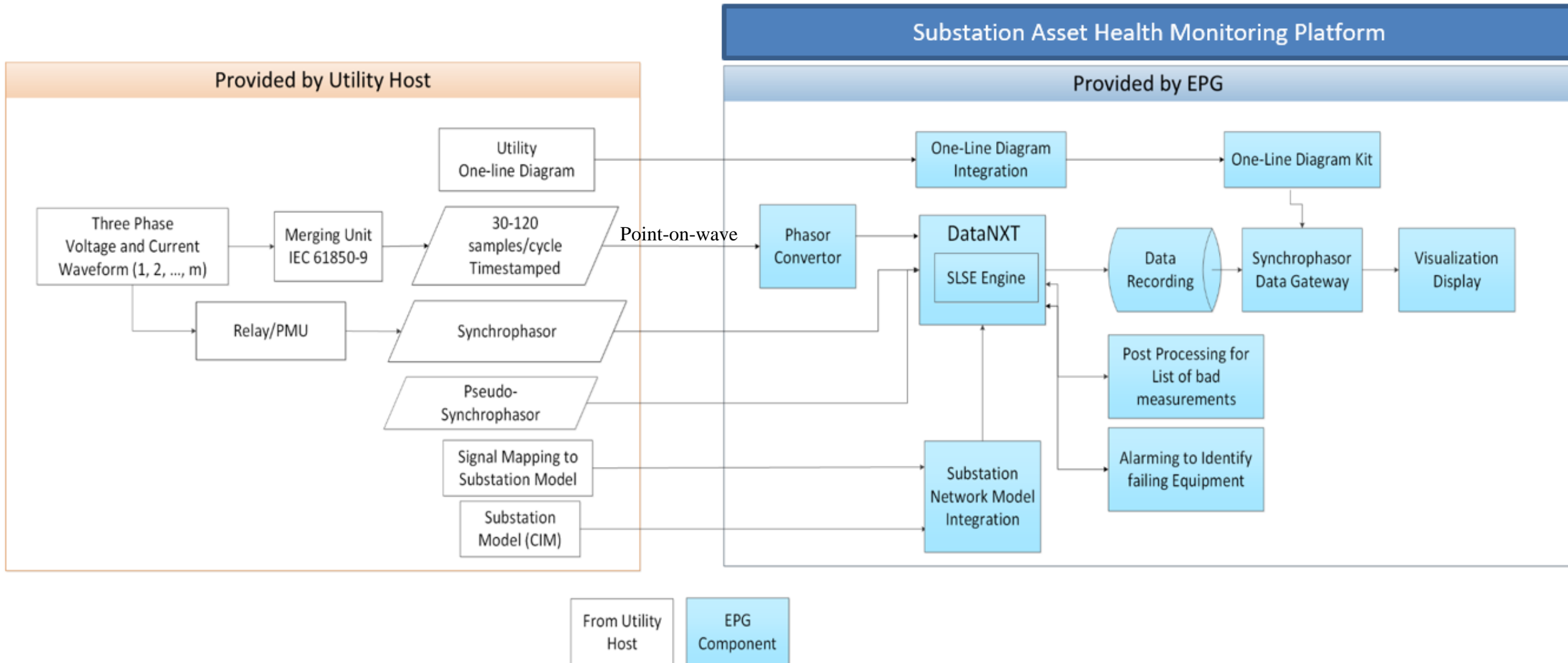
---

- Data from substation collected by PMUs and DFRs
- Use two methods
  - Data-driven Methods
    - Moving Variance – Use Moving windows and moving threshold to identify anomalies
    - Control Chart - Use upper control limit to identify maximum change in a moving window
  - Substation Linear State Estimator (SLSE) Method
    - Model-based approach running linear state estimation at substation level for 3-phase voltages and currents



# Architecture

- Can be deployed in Substations or in Control Centers



# Validation & Testing– Over 60 Cases

## Simulation Scenarios

Faulted Instrument Transformer	Fault Type	No. of Scenarios
CCVT	High voltage capacitor stack failure	3
	Low voltage capacitor stack failure	3
	Ferroresonance suppression circuit (FSC) failure	2
CT	Turn-to-turn shortage within the same coil	2
	Turn-to-ground shortage	4
	Turn-to-turn shortage between different coils	6
	Ratio setting error	1
	Large burden (Loose Connections or Corroded Connections)	1
	Open CT secondary	1
	CT polarity error	1
None	External system events (bus fault and line fault)	6

Tested with

- Simulated Data
- Live data from PMUs
- Point-on-wave Data from DFRs
- Equipment Failure Data



Electric Power Group



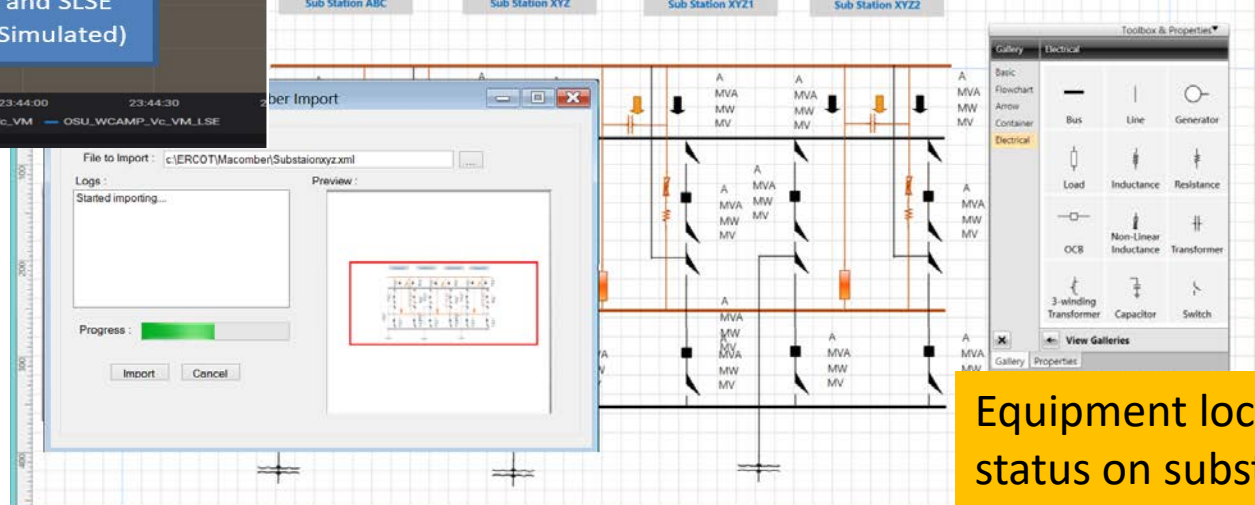
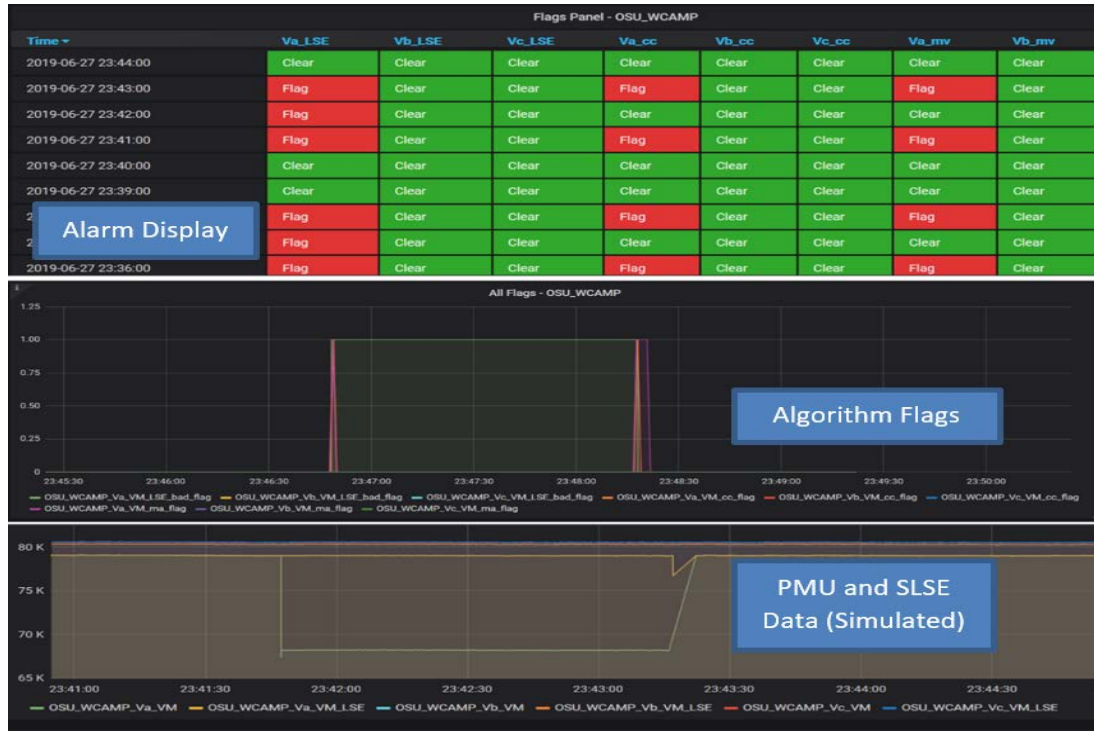
# Overview of Test Findings

## Assessment

- **Data-Driven Methods:**
  - Statistical Approach
  - Looks for patterns that may be indicative of equipment failure
  - Fast and powerful but requires events and datasets that can be used for tuning
  - Uses Multiple windows
  - Biased by bad data if not validated
- **SLSE Method:**
  - Based on power system models and focuses on physical phenomenon
  - Looks at Measured vs Estimated to identify anomalies
  - Robust to bad data
  - Requires model integration
  - Requires redundant PMU measurements



# Visualization Example– Web-Based, One-line Diagrams



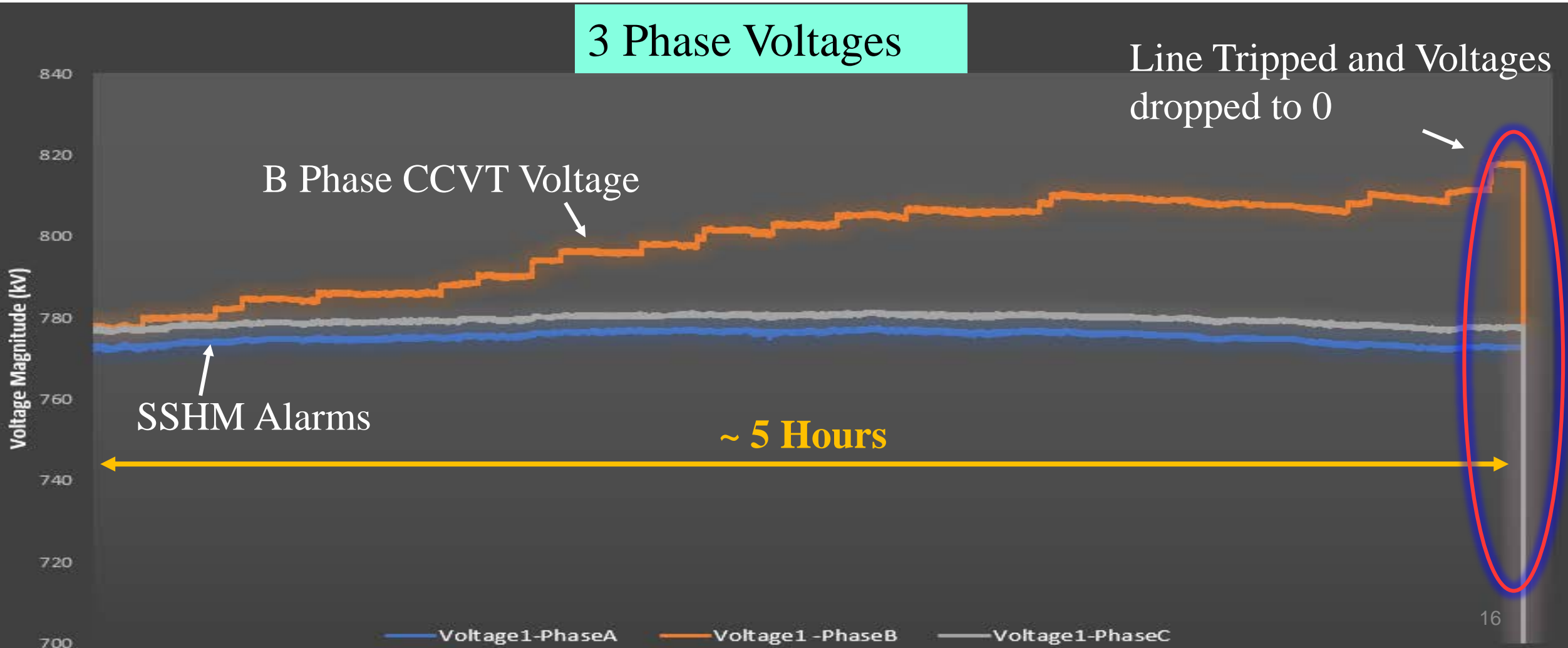
Monitor multiple equipment

- Independent alarming
- measurement signal & status trending



# Real Event Replay – CCVT Failure

- CCVT Failure Event - B Phase Voltage has anomalies/precursors before equipment failed
- Event Replay after system tuning indicates that such failures can be detected 5 hours prior to failure





# Alarm Panel

Time Increment

LSE Alarms for 3 Phases

Control Chart Alarms for 3 Phases

Moving Variance Alarms for 3 Phases

Time	Va_LSE	Vb_LSE	Vc_LSE	Va_cc	Vb_cc	Vc_cc	Va_mv	Vb_mv	Vc_mv
2019-10-22 20:04:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 20:03:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 20:02:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 20:01:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 20:00:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:59:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:58:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:57:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:56:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:55:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:54:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:53:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:52:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:51:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:50:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:49:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:48:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:47:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:46:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:45:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:44:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 19:43:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear



# Multi-device Alarm Panel

9 Alarms from PMU #01

9 Alarms from PMU #05

9 Alarms from PMU #15

Alarm Panel\_SUBSTATION1\_Voltage\_PMUa01, PMUa05, PMUa15

Time	#01-la	#01-lb	#01-lc	#01	#01-cb	#01-cc	#01-va	#01-vb	#01-vc	#05-la	#05-lb	#05-lc	#05-ca	#05-cb	#05-cc	#05-va	#05-vb	#05-vc	#15-la	#15-lb	#15-lc	#15-ca	#15-cb	#15-cc	#15-va	#15-vb	#15-vc	
22_01:59	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:58	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:57	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:56	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:55	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:54	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:53	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:52	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:51	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:50	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:49	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:48	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:47	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:46	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:45	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:44	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:43	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:42	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:41	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:40	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:39	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr
22_01:38	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr	Clr

LSE Alarms  
3 phases

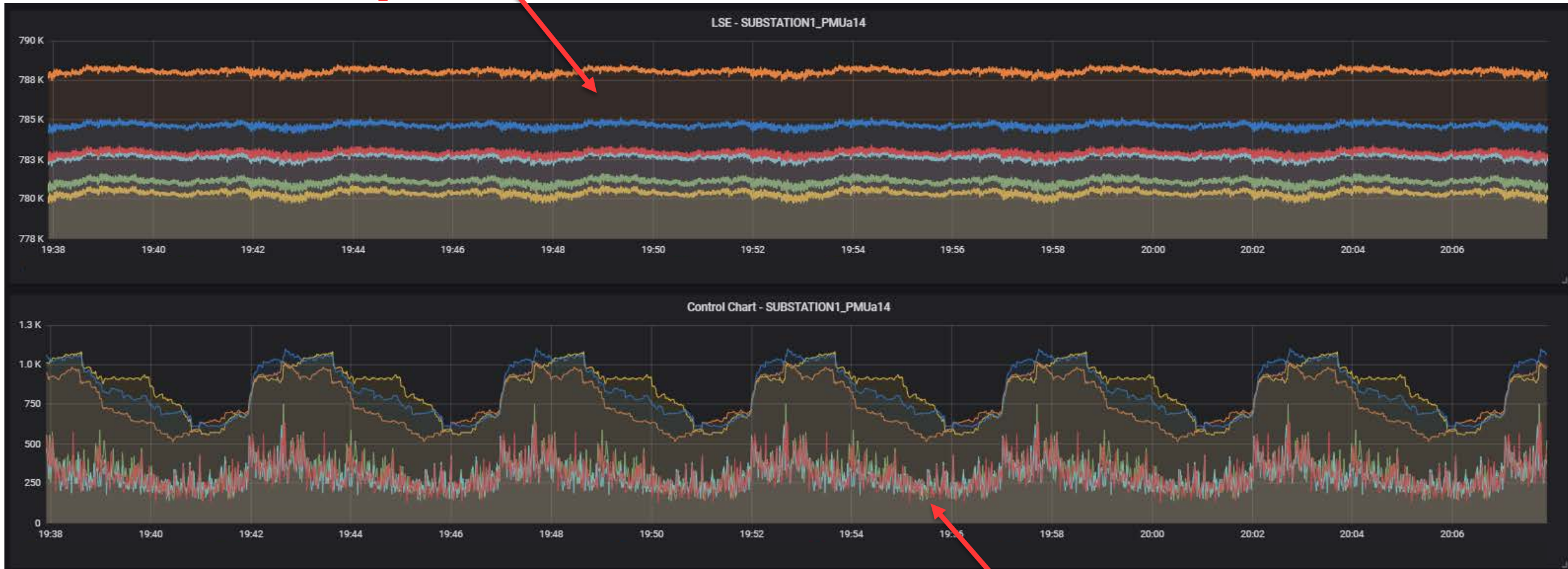
Control Chart Alarms  
3 phases

Moving Variance Alarms  
3 phases



# Line Charts – Raw and LSE, Control Chart

LSE and raw data, 3 phase each



Control Chart criteria and threshold, for 3 phases



Electric Power Group



AMERICAN  
ELECTRIC  
POWER

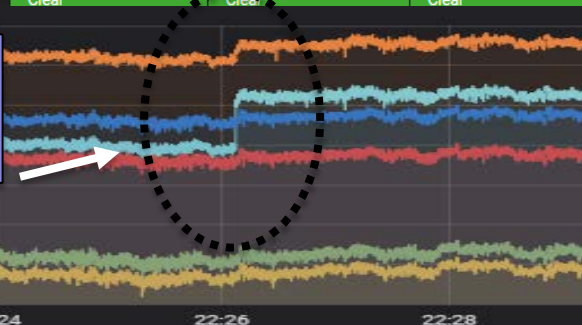


# Event Beginning – 5 hours before Line Trip

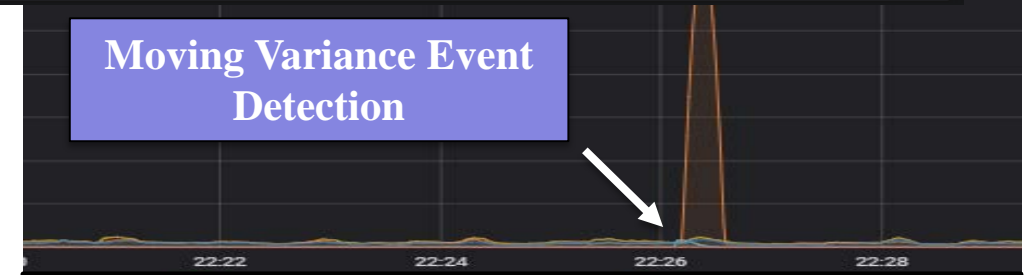
Alarm Panel - SUBSTATION1\_PMUa14

Time	Va_LSE	Vb_LSE	Vc_LSE	Va_cc	Vb_cc	Vc_cc	Va_mv	Vb_mv	Vc_mv
2019-10-22 22:28:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 22:27:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 22:26:00	Clear	Clear	Clear	Clear	Flag	Clear	Clear	Flag	Clear
2019-10-22 22:25:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 22:24:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 22:23:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 22:22:00	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear	Clear

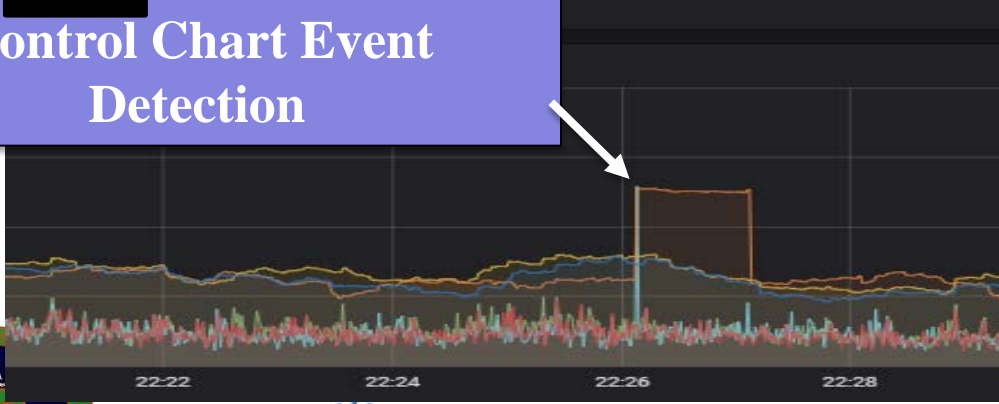
Event beginning on phase b with a jump



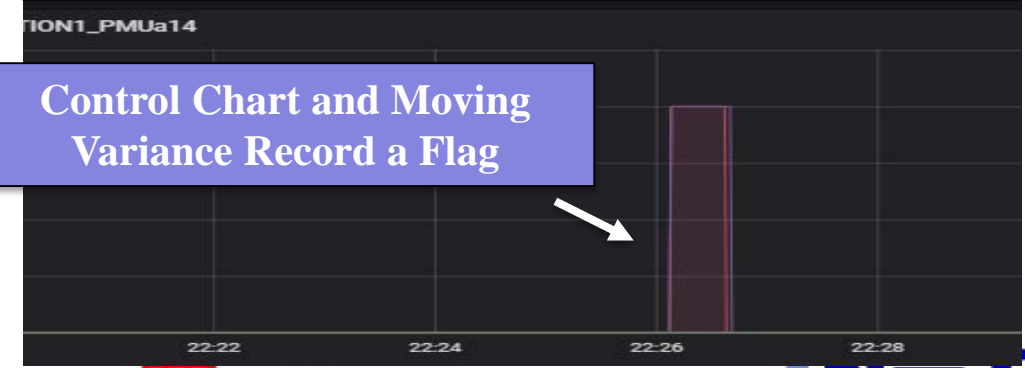
Moving Variance Event Detection



Control Chart Event Detection



Control Chart and Moving Variance Record a Flag



# Event End – 5 hours after first signal jump

Alarm Panel -SUBSTATION1\_PMuA14

Time	Va LSE	Vb LSE	Vc LSE	Va cc	Vb cc	Vc cc	Va mv	Vb mv	Vc mv
2019-10-22 12:20:00	Flag	Flag	Flag	Flag	Flag	Flag	Flag	Flag	Flag
2019-10-22 12:19:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:18:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:17:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:16:00	Clear	Flag	Flag	Clear	Flag	Clear	Clear	Flag	Clear
2019-10-22 12:15:00	Clear	Flag	Flag	Clear	Flag	Clear	Clear	Flag	Clear
2019-10-22 12:14:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:13:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:12:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:11:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:10:00	Clear	Flag	Flag	Clear	Flag	Clear	Clear	Flag	Clear
2019-10-22 12:09:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:08:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:07:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:06:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:05:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:04:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:03:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:02:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:01:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 12:00:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear
2019-10-22 11:59:00	Clear	Flag	Flag	Clear	Clear	Clear	Clear	Clear	Clear

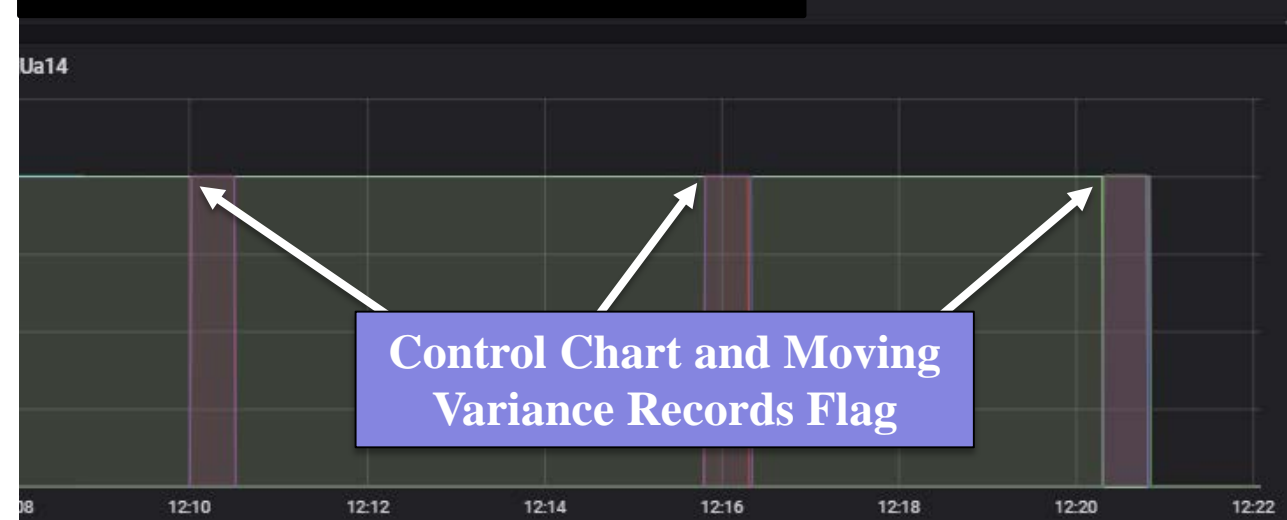
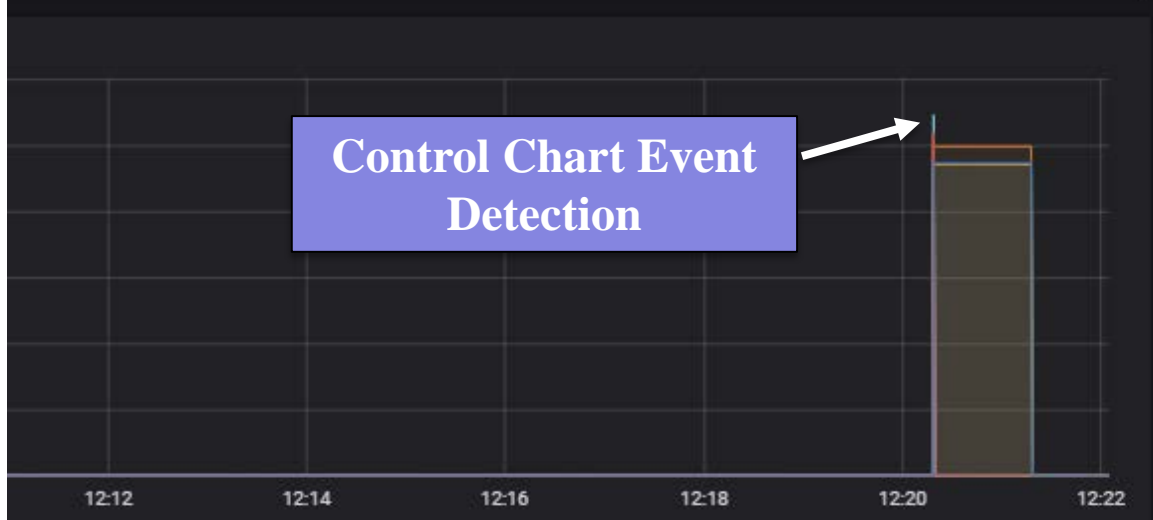
Line Trip

LSE Alarms constantly

Each jump is flagged by data driven methods



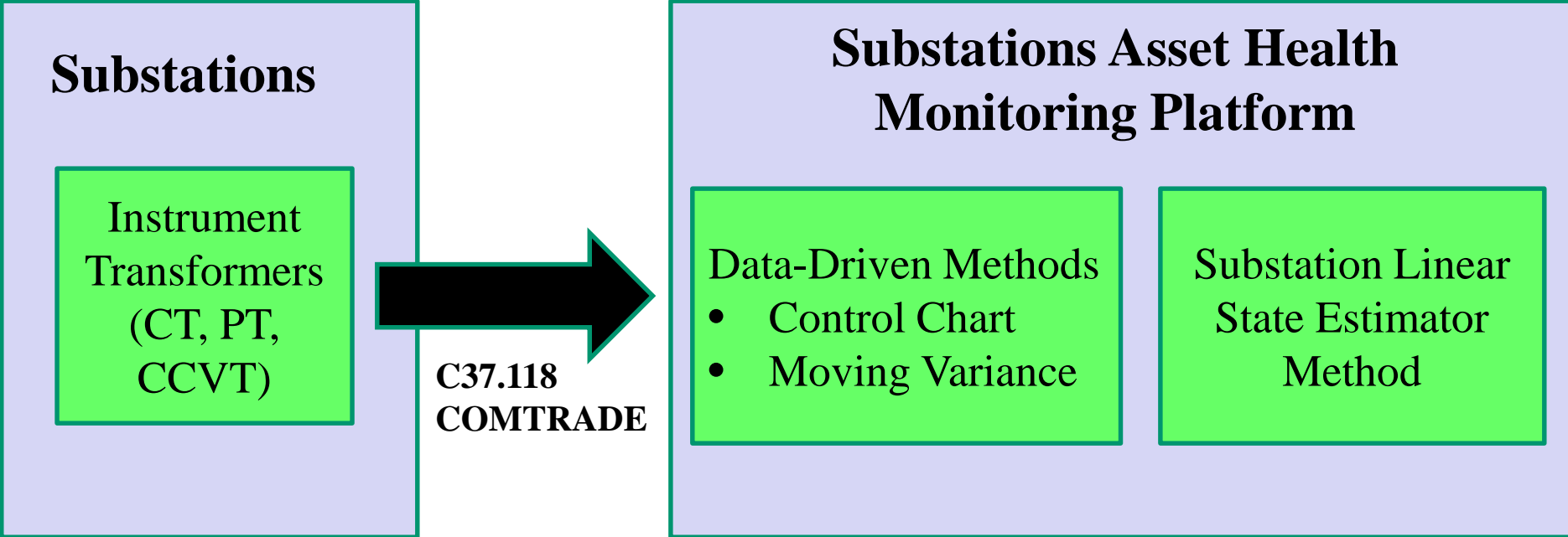
# Event End – 5 hours after first signal jump



Electric Power Group



# Substation Asset Health Monitoring Platform



# Summary

---

- Platform for Substation Asset Health Monitoring Using Synchrophasors
- Deployed in AEP test environment and planned for deployment in two substations by end of 2019
- Provides ability to detect precursors to equipment failure
- Avoid equipment failure and misoperations
- Alarms can be integrated with other monitoring systems using DNP3
- Plan to extend to generators and power transformers



Electric Power Group





---

# Thank You!



Electric Power Group

